

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Patent Application: Phillips, Quintin T.

Application No.: 10/809,093

Filed: March 24, 2004

Title: "Systems and Methods for Refilling
Printing Cartridges"

Confirmation No.: Unassigned

Examiner: Unassigned

Group Art Unit: Unassigned

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ (X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ () under 37 CFR 1.97 (c) together with either a:
☐ () Statement under 37 CFR 1.97(e), or
☐ () a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ () under 37 CFR 1.97 (d) together with a:
☐ () Statement under 37 CFR 1.97(e)(1) or (2), and
☐ () a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account the sum of **\$0.00**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **18-0013** pursuant to 37 CFR 1.25.

☒ (X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ () A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

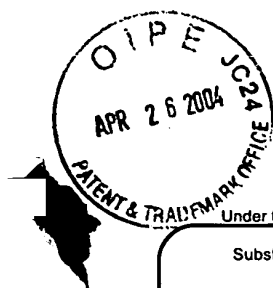
☐ () I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit: April 22, 2004

Signature: 
Typed Name: Jeffrey K. Riddle

Respectfully submitted,

By: Steven L. Nichols (Reg. No.: 40,326)
Attorney/Agent for Applicant(s)Telephone No.: (801) 572-8066
Date: April 22, 2004



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/809,093
Filing Date	March 24, 2004
First Named Inventor	Phillips, Quintin T.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	40001-0244

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,257,711	07-10-2001	Higuma et al.	
		US- 6,257,713	07-10-2001	Ahn	
		US- 6,310,635	10-30-2001	Coudray et al.	
		US- 6,338,552	01-15-2002	Sato et al.	
		US- 6,364,449	04-02-2002	Takahashi et al.	
		US- 6,364,473	04-02-2002	Liu	
		US- 6,386,691	05-14-2002	Liu	
		US- 6,390,590	05-21-2002	Hansburg	
		US- 5,068,806	11-26-1991	Gatten	
		US- 5,365,312	11-15-1994	Hillmann et al.	
		US- 5,572,292	11-05-1996	Chatani et al.	
		US- 5,636,032	06-03-1997	Springett	
		US- 6,366,742	04-02-2002	Reihl et al.	
		US- 6,467,888	10-22-2002	Wheeler et al.	
		US- 6,155,664	12-05-2000	Cook	
		US- 2002/0015066	02-07-2002	Siwinski et al.	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		EP 985537	05-25-1999	Mastumoto et al		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.